

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sandoz AG

Application No./Patent No.: 10/577,121 Filed/Issue Date: February 22, 2007

Titled: Process For Preparing Thiazolidinediones

Sandoz AG, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022286, Frame 0619, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Monika Le-Good
Signature

Monika Le-Good

Printed or Typed Name

Patent Service Specialist
Date

Patent Service Specialist

Title

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Sandoz AG

Applicant/Patent Owner: _____

Application No./Patent No.: 10/577,121Filed/Issue Date: February 22, 2007Titled: Process For Preparing Thiazolidinediones

Sandoz AG

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022288, Frame 0619, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Gillian McCann

Printed or Typed Name

Date

Patent Service Specialist

Title

D:LUEDEKA, NEELY & GRAHAM, P.C. COMPANY:P.O. BOX 1871



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

To: Name: LUEDEKA, NEELY & GRAHAM, P.C.
 Company: P.O. BOX 1871
 Fax Number: 18655234478
 Voice Phone:

From: Name: ASSIGNMENT SERVICES BRANCH
 Voice Phone: 571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg#	Description
1	Cover Page
2	874.TXT
4	Document 1, Batch 1572587

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Friday, February 20, 2009 8:42:46 PM
Number of pages including this cover sheet: 04

J:LUEDEKA, NEELY & GRAHAM, P.C. COMPANY:P.O. BOX 1871

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

500786407A

FEBRUARY 20, 2009

PTAS

LUEDEKA, NEELY & GRAHAM, P.C.
P.O. BOX 1871
KNOXVILLE, TN 37901UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/20/2009

REEL/FRAME: 022286/0619
NUMBER OF PAGES: 2BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 64114.US/ C-6710.0.SLOVEN

ASSIGNOR:

NAMBIAR, SUDHIR

DOC DATE: 04/25/2006

ASSIGNOR:

PISE, ABHINAY CHANDRAKANT

DOC DATE: 04/25/2006

ASSIGNEE:

SANDOZ AG
LICHTSTRASSE 35
4056 BASEL, SWITZERLAND

SERIAL NUMBER: 10577121

FILING DATE: 02/22/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: PROCESS FOR PREPARING THIAZOLIDINEDIONES

USPTO

2/20/2009 8:43:08 PM

PAGE

3/004

Fax Server

J:LUEDEKA, NEELY & GRAHAM, P.C. COMPANY:P.O. BOX 1871

022286/0619 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

D:LUEDEKA, NEELY & GRAHAM, P.C. COMPANY:P.O. BOX 1871

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.102/20/2009
500786407

SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Sudhir Namblar</td><td>04/25/2008</td></tr><tr><td>Abhinay Chandrakant Pise</td><td>04/25/2008</td></tr></tbody></table>		Name	Execution Date	Sudhir Namblar	04/25/2008	Abhinay Chandrakant Pise	04/25/2008		
Name	Execution Date								
Sudhir Namblar	04/25/2008								
Abhinay Chandrakant Pise	04/25/2008								
RECEIVING PARTY DATA									
<table border="1"><tr><td>Name:</td><td>Sandoz AG</td></tr><tr><td>Street Address:</td><td>Lichtstrasse 35</td></tr><tr><td>City:</td><td>4058 Basel</td></tr><tr><td>State/Country:</td><td>SWITZERLAND</td></tr></table>		Name:	Sandoz AG	Street Address:	Lichtstrasse 35	City:	4058 Basel	State/Country:	SWITZERLAND
Name:	Sandoz AG								
Street Address:	Lichtstrasse 35								
City:	4058 Basel								
State/Country:	SWITZERLAND								
PROPERTY NUMBERS Total: 1									
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10577121</td></tr></tbody></table>		Property Type	Number	Application Number:	10577121				
Property Type	Number								
Application Number:	10577121								
CORRESPONDENCE DATA									
Fax Number: (865)523-4478 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>									
Phone: 865-546-4305									
Email: mgraham@lng-patent.com									
Correspondent Name: Luedeka, Neely & Graham, P.C.									
Address Line 1: P.O. Box 1871									
Address Line 4: Knoxville, TENNESSEE 37901									
ATTORNEY DOCKET NUMBER:	64114.US/ C-6710.0.SLOVEN								
NAME OF SUBMITTER:	Mark S. Graham								
Total Attachments: 1 source=64114us-AssignmentExecuted25April2008#page1.tif									

CH \$40.00 10577121

ASSIGNMENT

I / We Sudhir NAMBIAR, 201, Cassia, Hiranandani Estate, Off Ghodbundar Road, Thane,
400607, India, citizen of India
Abhinay Chandrakant PISE, 3, Om Prarthana C H S, Near Shankar mandir,
Vikramnagar, Kalwa, Thane, 400605, India, citizen of India

for good and valuable consideration, the receipt and adequacy of which is hereby
acknowledged, do hereby sell and assign to **Sandoz AG, a Company organized under the
laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland**, its
successors, assigns and legal representatives all my/our right, title and interest, in and for
the United States of America, in and to the invention entitled:

PROCESS FOR PREPARING THIAZOLIDINEDIONES

invented by me/us and described in the international application

No. PCT/EP2004/012149 filed 27/10/2004

and all United States Letters Patent which may be granted therefor, and all divisions,
reissues, continuations and extensions thereof, the said interest being the entire ownership
of the said Letters Patent when granted, to be held and enjoyed by the said **Sandoz AG**, its
successors, assigns or other legal representatives, to the full end of the term for which said
Letters Patent may be granted, as fully and entirely as the same would have been held and
enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to
issue said Letters Patent to the said **Sandoz AG**.

Signed on


Sudhir NAMBIAR

25/04/2006


Abhinay Chandrakant PISE

25/04/2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO Box 1419
Alexandria, Virginia 22313-1419
www.uspto.gov

**CUSTOMER
NUMBER:** 000083721

**CORRESPONDENCE
ADDRESS:**

Lek (Slovenia) - LUEDEKA, NEELY & GRAHAM, P.C.
P.O. BOX 1871
Knoxville, TN 37901

FAX: **PHONE:** 865.5464305
E-MAIL: MGRAHAM@LNG-PATENT.COM

Date Mailed: 10/20/2008

NOTICE OF CUSTOMER NUMBER ASSIGNMENT

The request to assign a "Customer Number" to the above-identified Correspondence Address and Practitioner Registration Number(s) indicated below has been accepted by the Commissioner of Patents and Trademarks.

The Customer Number as assigned above may be used to identify the correspondence address or "fee address" for, and/or the appointed practitioner(s) in, a United States patent application or patent.

PRACTITIONER REGISTRATION NUMBER(S) ASSIGNED TO THAT CUSTOMER NUMBER:

22667, 24233, 28730, 28979, 32355, 34165, 34369, 34940
39596, 44990, 47507, 47601, 48833, 51975, 52646, 58753
58947, 61472.

Patents Electronic Business Center
866-217-9197 (toll-free) or 571-272-4100

PART I - ATTORNEY/APPLICANT COPY